

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Matthew H. REILLY et al.	§	Group Art Unit:	2184
Serial No.:	09/884,675	§	Examiner:	UNKNOWN
Filed:	June 19, 2001	§	Attorney Docket No.:	1662-38200
For:	Hardware Efficient Handling Of Instruction Exceptions To Limit Adverse Impact On Performance	§ § § § § §	Client Docket No.:	P00-3546

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**STATEMENT OF LACK OF DECEPTIVE  
INTENT BY PERSON BEING ADDED AS AN INVENTOR**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Chuan -Hua CHANG, do hereby declare that:

1. I was erroneously omitted as a named inventor in the above-identified application at the time it was filed. This error arose without any deceptive intention on my part.

2. Further, I declare not.

Date: Nov. 30, 2001

Chuan-Hua Chang  
Chuan-Hua CHANG



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For:	Hardware Efficient Handling Of Instruction Exceptions To Limit Adverse Impact On Performance	§	Client Docket No.:	P00-3546

ASSIGNEE CONSENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

The undersigned, being Assignee of the entire interest of the above-identified application by virtue of a previously filed Assignment, hereby consents to a correction of inventorship to include Chuan-Hua CHANG as an actual inventor along with the original named inventors, Matthew H. REILLY, Matthew C. MATTINA and Shane L. BELL in connection with the above-captioned application.

The undersigned is empowered to act on behalf of the Assignee.

COMPAQ COMPUTER CORPORATION

Date: 01 FEB 02

BY:

M. Barboza

NAME: Marcella Barboza

TITLE: Legal Assistant, Patent Acquisitions

Authorized To Sign This Document On Behalf Of  
Compaq Computer Corporation  
Pursuant To Board Of Directors Resolution  
Dated: November 2, 2000



21848

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/884,675	
	<b>Filing Date</b>	June 19, 2001	
	<b>First Named Inventor</b>	Matthew H. REILLY	
	<b>Group Art Unit</b>	2184	
	<b>Examiner Name</b>	UNKNOWN	
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	1662-38200 (P00-3546)

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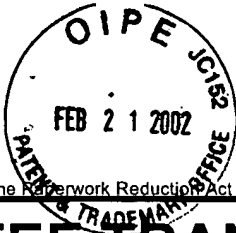
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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Charged to Deposit Account	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Petition To Correct Inventorship;
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Declarations (1 p.);
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Stmt of Lack of Deceptive Intent;
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		4. Assignee Consent; and
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		5. Acknowledgment postcard.
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jonathan M. Harris, Reg. No. 44,144 CONLEY, ROSE & TAYON, P. C.
Signature	
Date	February 5, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 5, 2002	
Typed or printed name	Colleen F. Brown
Signature	
Date	February 5, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	09/884,675
Filing Date	June 19, 2001
First Named Inventor	Matthew H. REILLY
Examiner Name	UNKNOWN
Group Art Unit	2184
Attorney Docket No.	1662-38200 (P00-3500)

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-2769  
Deposit Account Name: Conley, Rose & Tayon, P.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ - 0 -)

## 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims:  -20\*\* =  X  =

Independent Claims:  -3\*\* =  X  =

Multiple Dependent:  =

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ - 0 -)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	130.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00)

## SUBMITTED BY

Name (Print/Type) Jonathan M. Harris

Registration No. 44,144  
(Attorney/Agent)

## Complete (if applicable)

Telephone (713) 238-8000

Signature

Date February 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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